



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 98-385-E)

PATENT

In re Application of: Hauptmann et al. )  
Serial No.: 09/525,998 ) Before the Examiner: E. O'Hara  
Filed: March 15, 2000 ) Group Art Unit: 1646  
For: TNF Receptors, TNF Binding )  
Proteins and DNAs Coding )  
For Them )

Commissioner for Patents  
Washington, D.C. 20231

Madam:

**RESPONSE TO RESTRICTION REQUIREMENT MAILED MARCH 13, 2002**

Responsive to the Restriction Requirement, mailed March 13, 2002, Applicants elect to prosecute those claims directed to nucleic acid molecules comprising the nucleotide sequences set forth in SEQ ID NO: 1 and SEQ ID NO: 3, designated as Group A by the Examiner, with traverse. The basis for Applicants' traversal of the requirement is as follows.

Applicants respectfully submit that there will be no undue hardship on the Office in performing a search with respect to nucleic acid molecules comprising the nucleotide sequences set forth in SEQ ID NOS: 1, 3, 5, 7, 9, 11, 13, 15, 17, and 19. A ClustalW multiple sequence alignment of the polypeptides encoded by these nucleotide sequences (*i.e.*, the amino acid sequences set forth in SEQ ID NOS: 2, 4, 6, 8, 10, 12, 14, 16, 18, and 20) is shown in Exhibit A. The sequence alignment was performed using the application MacVector 7.1.1 (Accelrys, Cambridge, UK; <http://www.accelrys.com>) at the default settings. This sequence alignment indicates that there is a substantial degree of homology between the amino acid sequences set forth in SEQ ID NOS: 2, 4, 6, 8, 10, 12, 14, 16, 18, and 20.

The nucleotide sequence set forth in SEQ ID NO: 1 encodes the TNF receptor protein (specification p. 3, ln. 30 to p. 4, ln. 25). The nucleotide sequence consisting of nucleotides 1

through 87 of SEQ ID NO: 1 (which is equivalent to the nucleotide sequence set forth in SEQ ID NO: 3) encodes a secretable TNF-binding protein (specification p. 4, ln. 27-41). As shown in Exhibit A, the polypeptides encoded by the nucleotide sequences set forth in SEQ ID NOs: 5, 7, 9, 11, 13, 15, 17, and 19 all possess this portion of the TNF receptor protein. Moreover, this portion constitutes between 76.3% (SEQ ID NO: 8) and 99.4% (SEQ ID NO: 6) of the polypeptides encoded by the nucleotide sequences set forth in SEQ ID NOs: 5, 7, 9, 11, 13, 15, 17, and 19. With the exception of an addition of ATG at the 5' end of the nucleotide sequences set forth in SEQ ID NOs: 5, 9, 15, and 19, the polypeptides encoded by the nucleotide sequences set forth in SEQ ID NOs: 5, 7, 9, 11, 13, 15, 17, and 19 differ only by the presence or absence of sequences encoding the signal peptide (nucleotides 1 through 87 of SEQ ID NO: 1; specification p. 21, ln. 35 to p. 22, ln. 1), the portion of pro-protein cleaved following secretion (nucleotides 88 through 120 of SEQ ID NO: 1; specification p. 22, ln. 7-11), and the linker region (nucleotides 604 through 633 of SEQ ID NO: 1; specification p. 22, ln. 12-15) of TNF receptor protein. Applicants respectfully submit that there will be no undue hardship on the Office in performing a search with respect to the nucleotide sequences of SEQ ID NOs: 5, 7, 9, 11, 13, 15, 17, and 19, since a search for the elected nucleotide sequence set forth in SEQ ID NO: 3 will identify all of the non-elected sequences.

Applicants do not believe any additional fee is required. However, the Commissioner is authorized to charge any deficiency to Deposit Account No. 13-2490. If Examiner O'Hara believes it to be helpful, she is invited to contact the undersigned representative by telephone at (312) 913-0001.

Respectfully submitted,  
**McDonnell Boehnen Hulbert & Berghoff**

Dated: April 3, 2002

By:   
Donald Zuhn, Ph.D.  
Reg. No. 48,710



## EXHIBIT A

ClustalW (v1.4) multiple sequence alignment

10 Sequences Aligned  
Gaps Inserted: 2 Alignment Score: 54899  
Conserved Identities: 101

Fairwise Alignment Mode: `SI` - w

#### Pairwise Alignment Parameters:

open Gap Penalty 10.0 Extend Gap Penalty 0.1  
Similarity Matrix: blosum

#### Multiple Alignment Parameters:

Open Gap Penalty = 10.0 Extend Gap Penalty = 0.0  
Delay Divergent = 40. Gap Distance = 8  
Similarity Matrix: blosum

Processing time: 3.5 seconds

SEQ 2	MGLSTVPELLLPLVLEELVGIYPSGVIGVPHLGDFEKEDSVCPCQGYI	50
SEQ 4	DLVVCPCQGYI	10
SEQ 6	MDSVCPCQGYI	11
SEQ 8	MGLSTVPELLLPLVLEELVGIYPSGVIGVPHLGDFEKEDSVCPCQGYI	50
SEQ 10	MLVPHLGDFEKEDSVCPCQGYI	12
SEQ 12	MGLSTVPELLLPLVLEELVGIYPSGVIG-----DSVCPCQGYI	39
SEQ 14	MGLSTVPELLLPLVLEELVGIYPSGVIGVPHLGDFEKEDSVCPCQGYI	50
SEQ 16	MLVPHLGDFEKEDSVCPCQGYI	12
SEQ 18	MGLSTVPELLLPLVLEELVGIYPSGVIG-----DSVCPCQGYI	39
SEQ 20	MDSVCPCQGYI	11
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SEQ 2	51	HPQINISICCTKCHKGTYLYNDCPGPQDCTI	CPECESGSFTASENHLF	HCL	100
SEQ 4	11	HPQINISICCTKCHKGTYLYNDCPGPQDCTI	CPECESGSFTASENHLF	HCL	60
SEQ 6	12	HPQINISICCTKCHKGTYLYNDCPGPQDCTI	CPECESGSFTASENHLF	HCL	61
SEQ 8	51	HPQINISICCTKCHKGTYLYNDCPGPQDCTI	CPECESGSFTASENHLF	HCL	100
SEQ 10	23	HPQINISICCTKCHKGTYLYNDCPGPQDCTI	CPECESGSFTASENHLF	HCL	72
SEQ 12	40	HPQINISICCTKCHKGTYLYNDCPGPQDCTI	CPECESGSFTASENHLF	HCL	83
SEQ 14	51	HPQINISICCTKCHKGTYLYNDCPGPQDCTI	CPECESGSFTASENHLF	HCL	100
SEQ 16	23	HPQINISICCTKCHKGTYLYNDCPGPQDCTI	CPECESGSFTASENHLF	HCL	72
SEQ 18	40	HPQINISICCTKCHKGTYLYNDCPGPQDCTI	CPECESGSFTASENHLF	HCL	83
SEQ 20	11	HPQINISICCTKCHKGTYLYNDCPGPQDCTI	CPECESGSFTASENHLF	HCL	61
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SEQ 2	101	SCSNCPEMIGQWEISSCTVI	EDTVCGCFHNQYRHYSSENLFQCFNCSLCL	130
SEQ 4	61	SCSNCPEMIGQWEISSCTVI	EDTVCGCFHNQYRHYSSENLFQCFNCSLCL	120
SEQ 6	62	SCSNCPEMIGQWEISSCTVI	EDTVCGCFHNQYRHYSSENLFQCFNCSLCL	111
SEQ 8	101	SCSNCPEMIGQWEISSCTVI	EDTVCGCFHNQYRHYSSENLFQCFNCSLCL	130
SEQ 10	73	SCSNCPEMIGQWEISSCTVI	EDTVCGCFHNQYRHYSSENLFQCFNCSLCL	122
SEQ 12	91	SCSNCPEMIGQWEISSCTVI	EDTVCGCFHNQYRHYSSENLFQCFNCSLCL	134
SEQ 14	101	SCSNCPEMIGQWEISSCTVI	EDTVCGCFHNQYRHYSSENLFQCFNCSLCL	130
SEQ 16	73	SCSNCPEMIGQWEISSCTVI	EDTVCGCFHNQYRHYSSENLFQCFNCSLCL	122
SEQ 18	91	SCSNCPEMIGQWEISSCTVI	EDTVCGCFHNQYRHYSSENLFQCFNCSLCL	139
SEQ 20	62	SCSNCPEMIGQWEISSCTVI	EDTVCGCFHNQYRHYSSENLFQCFNCSLCL	111

SEQ 2	151	NGTVHLSCQEFQNTVCTCHAGFFLRENECVSCSNCNSLECTKLAQIE	200
SEQ 4	111	NGTVHLSCQEFQNTVCTCHAGFFLRENECVSCSNCNSLECTKLAQIE	160
SEQ 6	112	NGTVHLSCQEFQNTVCTCHAGFFLRENECVSCSNCNSLECTKLAQIE	161
SEQ 8	151	NGTVHLSCQEFQNTVCTCHAGFFLRENECVSCSNCNSLECTKLAQIE	200
SEQ 10	123	NGTVHLSCQEFQNTVCTCHAGFFLRENECVSCSNCNSLECTKLAQIE	171
SEQ 12	140	NGTVHLSCQEFQNTVCTCHAGFFLRENECVSCSNCNSLECTKLAQIE	180
SEQ 14	151	NGTVHLSCQEFQNTVCTCHAGFFLRENECVSCSNCNSLECTKLAQIE	200
SEQ 16	123	NGTVHLSCQEFQNTVCTCHAGFFLRENECVSCSNCNSLECTKLAQIE	172
SEQ 18	140	NGTVHLSCQEFQNTVCTCHAGFFLRENECVSCSNCNSLECTKLAQIE	180
SEQ 20	112	NGTVHLSCQEFQNTVCTCHAGFFLRENECVSCSNCNSLECTKLAQIE	161

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SEQ 2	201	NVHGTEDSGTIVLILVIEFGICLLNLIFICLMYKQRWKQKLYVNNRK	250
SEQ 4	161	N	161
SEQ 6	162	N	162
SEQ 8	201	NVHGTEDSGTT	211
SEQ 10	172	NVHGTEDSGTT	183
SEQ 12	180	NVHGTEDSGTT	200
SEQ 14	201	N	201
SEQ 16	173	N	173
SEQ 18	180	N	180
SEQ 20	160	NVHGTEDSGTT	171

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SEQ 2	201	STPEKEGELETTKPLAPNPSFSPTPGFTPTLGFSLVPGSTFTSNTYT	200
SEQ 4	161		161
SEQ 6	162		162
SEQ 8	201		211
SEQ 10	184		184
SEQ 12	201		200
SEQ 14	201		201
SEQ 16	174		174
SEQ 18	191		191
SEQ 20	173		173

SEQ 2	301	PGDCPNFAAPFREVAPPYQGADPILATALASDPIPNPLQKWEDSAHKPQS	300
SEQ 4	161		161
SEQ 6	162		162
SEQ 8	201		211
SEQ 10	184		184
SEQ 12	201		200
SEQ 14	201		201
SEQ 16	174		174
SEQ 18	191		191
SEQ 20	173		173

SEQ 2	351	LDTDDPATLYAVVENVFFPLRWKEFVRRGLSDHEIDRKLELQNGKUQKREAQ	400
SEQ 4	162		161
SEQ 6	163		162
SEQ 8	212		211
SEQ 10	184		183
SEQ 12	201		200
SEQ 14	202		201
SEQ 16	174		173
SEQ 18	191		190
SEQ 20	173		172

SEQ 2	401	YSMLATWRRRTPRREATLELLGRVLRQMDLLGCLEPTEEALANGAAALFA	450
SEQ 4	162		161
SEQ 6	163		162
SEQ 8	212		211
SEQ 10	184		183
SEQ 12	201		200
SEQ 14	202		201
SEQ 16	174		173
SEQ 18	191		190
SEQ 20	173		172

SEQ 2	451	PSLLR	455
SEQ 4	162		161
SEQ 6	163		162
SEQ 8	212		211
SEQ 10	184		183
SEQ 12	201		200
SEQ 14	202		201
SEQ 16	174		173
SEQ 18	191		190
SEQ 20	173		172